

Online Research @ Cardiff

This is an Open Access document downloaded from ORCA, Cardiff University's institutional repository: <https://orca.cardiff.ac.uk/id/eprint/109781/>

This is the author's version of a work that was submitted to / accepted for publication.

Citation for final published version:

Ottmann, Oliver ORCID: <https://orcid.org/0000-0001-9559-1330> 2017.
Dasatinib and azacitidine followed by haploidentical stem cell transplant for chronic myeloid leukemia with evolving myelodysplasia: A case report and review of treatment options. American Journal of Case Reports 18 , pp. 1099-1109. 10.12659/AJCR.904956 file

Publishers page: <http://dx.doi.org/10.12659/AJCR.904956>
<<http://dx.doi.org/10.12659/AJCR.904956>>

Please note:

Changes made as a result of publishing processes such as copy-editing, formatting and page numbers may not be reflected in this version. For the definitive version of this publication, please refer to the published source. You are advised to consult the publisher's version if you wish to cite this paper.

This version is being made available in accordance with publisher policies.

See

<http://orca.cf.ac.uk/policies.html> for usage policies. Copyright and moral rights for publications made available in ORCA are retained by the copyright holders.



Dasatinib and Azacitidine followed by Haploidentical Stem Cell Transplant for Chronic
Myeloid Leukemia with evolving Myelodysplasia: Case report and Review of Treatment
Options

F Lang^{1}, L Wunderle¹, H Pfeifer¹, S Schnittger², G Bug¹, OG Ottmann³*

*1) Department for Hematology/Oncology, Goethe University Hospital, Frankfurt am Main,
Germany*

2) MLL Munich Leukemia Laboratory, Munich, Germany.

*3) Division of Cancer and Genetics, School of Medicine, Cardiff University, Cardiff, Wales,
United Kingdom*

** Correspondence to F. Lang, fabian.lang@kgu.de, Tel +49 / (0) 69 / 6301-4013; Fax +49 /
(0) 69 / 6301-83655*

Abstract word count: 198

Text body word count: 4686

Figures: 2

Tables: 2

References: 120

Abstract

CML presenting with a variant Philadelphia translocation, atypical BCR-ABL transcript, additional chromosomal aberrations and evolving MDS is uncommon and therapeutically challenging. The prognostic significance of these genetic findings is uncertain even as singular aberrations, with nearly no data on management and outcome when they coexist. MDS evolving during the course of CML may be either treatment-associated or an independently coexisting disease, and is generally considered to have an inferior prognosis. Tyrosine kinase inhibitors (TKI) directed against BCR-ABL are the mainstay of treatment for CML, whereas treatment modalities that may be utilized for both MDS and CML include allogeneic stem cell transplant and – at least conceptually – hypomethylating agents. Here, we describe the clinical course of such a patient, demonstrating that long-term combined treatment with dasatinib and azacitidine for coexisting CML and MDS is feasible and well tolerated, and may be capable of slowing disease progression. This combination therapy had no deleterious effect on subsequent potentially curative haploidentical bone marrow transplantation. The different prognostic implications of this unusual case and new therapeutic options in CML are discussed, together with a review of the current literature on CML presenting with different types of genomic aberrations and the coincident development of MDS.

Key words

Azacitidine

Fusion Proteins, bcr-abl

Leukemia, Myelogenous, Chronic, BCR-ABL Positive

Philadelphia Chromosome

Protein Kinase Inhibitors

Introduction

Chronic myelogenous leukemia (CML) is a chronic myeloproliferative disorder that is driven by the BCR-ABL1 oncogene. The reciprocal BCR-ABL1 translocation involves chromosomes 22 and 9 and leads to a fusion gene that encodes a constitutively active oncogenic kinase, typically referred to as p210^{BCR-ABL1}. Details of the molecular pathogenesis of CML have been described in numerous seminal papers and excellent reviews [1]–[4]. Tyrosine kinase inhibitors (TKI) that inhibit BCR/ABL1 signaling have become the gold standard of CML treatment, with five TKIs presently approved for clinical use: imatinib was followed by dasatinib, nilotinib and bosutinib as second generation TKIs and ponatinib as third generation TKI. Together with rigorous cytogenetic and molecular monitoring of treatment response, this armamentarium has transformed CML from a mostly fatal leukemia to a disease with an excellent prognosis in the vast majority of patients, the goal of a normal life expectancy and even prospect for cure in a subset of patients. The nearly invariable transition from an initial chronic phase to accelerated and ultimately blast phase in the pre-TKI era has become exceedingly rare [5]. Importantly, the prognosis of patients that do experience such progression remains very poor despite all currently available treatment options. Consequently, patients destined to do poorly should be identified at an early stage. This relies on two complementary strategies, i.e. *i)* evaluation of the prognosis at diagnosis using a variety of scoring systems, such as the EUTOS, Sokal or Hasford scores [6]–[8] and *ii)* assessment of the speed of hematologic, cytogenetic and molecular responses during first-line or second-line therapy. The European Leukemia Net (ELN) provides distinct recommendations for CML treatment based on classification of a patient's response as optimal or failure [9]–[11]. Additional warning signs that warrant close supervision, but for which no unequivocal treatment guidelines have been defined include additional chromosomal aberrations (ACAs), either in the Ph positive clone or in Ph negative cells as evidence of clonal evolution, and atypical BCR-ABL1 transcripts. These aberrations, which may be identified at diagnosis or during therapy have been variably associated with an inferior or uncertain prognosis. By themselves none of these findings are considered an unequivocal trigger for changing therapy, although cytogenetic findings consistent with the

90 presence or development of a myelodysplastic syndrome, e.g. monosomy 5 or monosomy 7,
91 are considered ominous signs.

92 Myelodysplastic syndromes (MDS) are a group of diseases of the hematopoietic stem cell
93 characterized by peripheral cytopenias that variably effect erythro-, thrombo- and
94 granulopoiesis and an increasing proportion of BM blasts. As in CML, prognosis and treatment
95 are based on several clinical scoring systems. Treatment of MDS is stage-dependent and
96 includes supportive care (transfusions and antibiotic prophylaxis), disease-modifying
97 hypomethylating agents (azacitidine and/or decitabine) to stabilize the course of the disorder
98 and delay acceleration into an acute myelogenous leukemia [12]–[14] or allogeneic stem cell
99 transplantation in the small subset of patients deemed fit enough to undergo this procedure.
100 In rare cases, MDS develops during treatment for CML [15]; no standard therapy has to date
101 been established for patients in whom both diseases coexistent.

102 In this report we describe the case of a 41 years old female diagnosed with CML, whose clinical
103 course was characterized by several of the above mentioned features: an atypical transcript,
104 ACAs and an evolving MDS (see Tab.1).

106 Case report

107 A 41 years old female presented in 01/2001 with bone pain, leuko- and thrombocytosis. Her
108 WBC was 19.000/μl, ANC: 14.000/μl, Hb: 13.4 g/dl and platelets: 517.000/mm³ (see Fig.1A-
109 C). Cytogenetic analysis revealed a variant BCR-ABL1 translocation
110 (46,XX,t(9;22;17)(q34;q11;q24)) (9 of 9 metaphases) (see Tab.2). Molecular genetic analysis
111 by direct sequencing identified an atypical BCR-ABL1 transcript (p190^{Bcr-Abl} (b2a3)), also
112 referred to as (p190^{Bcr-Abl} (e1a3)) according to revised nomenclature[16]. A diagnosis of Ph+
113 CML in chronic phase was established. Treatment with hydroxyurea was initiated in 02/2001.
114 This resulted in control of WBC but no molecular response. Interferon-α was contraindicated
115 due to clinical depression. Two years and 3 months after diagnosis (05/2003), imatinib was
116 started at an initial dose of 400mg/day. Peripheral edema necessitated dose reduction to 300

mg/day. The BCR-ABL1/ABL1 ratio decreased to 18% after one year (04/2004) (detected with a p210-transcript assay via RT-PCR, with G6PD as housekeeping gene) and imatinib was continued at 300mg/day. After 2 more years a non-variant translocation (46,XX,t(9;22)[19/25]) was observed by cytogenetic analysis, accompanied by an increasing BCR-ABL1/ABL1 ratio (62% detected with an p210 transcript assay via RT-PCR). The imatinib dose was increased to 300/400mg alternating per day (see also Fig.2). Neither the variant translocation nor the atypical BCR-ABL1 transcript were detectable at that time using a p210 RT-PCR assay and nested PCR approach. Despite failure of TKI treatment the patient refused an allogeneic hematopoietic stem cell transplantation (HSCT).

Shortly thereafter (06/2006) hematologic CR was lost with left-shift in the peripheral blood smear and mild to moderate cytopenia (WBC 2,61/nl, ANC 0.9/nl, plts. 138/nl and Hb 12.7 g/dl; see Fig.1A-C). Cytogenetic analysis (08/2006) revealed the same variant BCR-ABL translocation that had been observed at initial diagnosis, (46,xx,t(9;22;17)[4/20]) in conjunction with a newly occurring monosomy 7 (45,XX,-7 [16/20]) (see Tab.2). At that timepoint no BCR-ABL mutation was detectable in sanger sequencing. Imatinib was switched to Nilotinib 400mg/BD within a clinical trial, with hematologic remission within 2 months and a complete cytogenetic remission of the Ph positive CML within 14 months but persistence of the monosomy 7 in all metaphases (45,XX,-7 [19/19]) (see also Fig.2 and Tab.2). Accordingly, monosomy 7 was present in the Ph negative clone.

Cytogenetic remission with respect to the t(9;22) lasted for an additional year until cytogenetic relapse occurred in 04/2008 (46,XX,t(9;22;17)[3/21]; 45,XX,-7 [18/21]) and treatment was switched to dasatinib (50mg/day). Ph-negativity was regained within 3 months, with persistence of monosomy 7 in bone marrow cytogenetics (45,XX,-7 [20/20]) in 08/2008.

This coincided with worsening of cytopenias, appearance of profoundly dysmorphic megakaryopoiesis and erythropoiesis and severely reduced granulopoiesis by bone marrow examination, without an increase of blasts; the additional diagnosis of an MDS was established (see Fig.1A-C,2). The IPSS and WPSS scores were intermediate-1 and high, respectively. Dasatinib was continued to maintain control of CML and azacitidine was added in 12/2008 at

a dosage of 75mg/m² s.c. for five consecutive days (days 1 to 5 of a 4 weekly treatment schedule, reduced dosage due to present cytopenia). Azacitidine administration was changed to i.v. infusion after severe skin irritation with s.c. administration. Combined dasatinib and azacitidine was continued for another three years with a sustained complete cytogenetic response of the Philadelphia positive clone, whereas monosomy 7 continued to be detected in 90% to 100% of metaphases on bone marrow analysis (see Tab.2). Peripheral blood counts showed transfusion independent anemia (grade 1-2), mild thrombocytopenia (grade 1-2) and grade 4 (severe) granulocytopenia (see Fig 1).

Cytogenetic relapse of the CML with reappearance of the t(9;22;17) in 2 of 21 metaphases and clonal evolution with a new distinct clone with t(2;22) in 6 of 21 metaphases was observed in 05/2011(10 years after initial diagnosis and 2,5 years of combination therapy), with additionally no detectable BCR-ABL mutation upon sanger sequencing. All remaining metaphases demonstrated monosomy 7 (13 of 21 metaphases) (see Tab.2). Molecular genetic analysis revealed KRAS, ASXL1 and ETV6 mutations (see Fig.2), which by backtracking analysis of prior diagnostic bone marrow samples had not been present at initial diagnosis of CML and also not at development of myelodysplasia.

In view of persisting MDS with clonal evolution and resistant CML by cytogenetics the patient agreed to undergo an allogenic HSCT. As no matched related or unrelated donor could be identified, haplo-identical bone marrow transplantation (BMT) was performed in 09/2011 with one of her daughters as stem cell donor. Dasatinib and azacitidine were discontinued prior to start of the conditioning which included thiotepea, i.v. busulfan and fludarabine. GvHD prophylaxis was conducted with posttransplant cyclophosphamide and the continuous treatment with mycophenolate-mofetil (MMF) and cyclosporine A (CSA). The patient engrafted and haematopoiesis recovered adequately with complete donor chimerism. The BMT resulted in complete recovery of peripheral blood counts after 19 days (granulocytes >0,5/nl) resp. 30 days (thrombocytes >50/nl) (see Fig.1A-C), and complete cytogenetic and molecular remission.

The patient developed a mild acute grade 1 graft versus host disease (GvHD) of the oral cavity, which was treated with prednisolone. One year after BMT she developed a steroid dependent moderate chronic GvHD.

Complete cytogenetic and molecular remission persisted until 2.5 years after BMT, when atypical BCR-ABL1 transcripts were again detected by nested PCR, but a quantitative RT-PCR assay could not be performed due to the atypical transcript. Cytogenetics were normal and full donor chimerism persisted in the bone marrow. Treatment of molecular relapse with nilotinib led to a disappearance of BCR-ABL1 transcript in nested PCR analysis, even though nilotinib was discontinued after 28 days because of gastrointestinal and musculoskeletal side effects and elevated liver function tests (transaminases). Except for two analyses revealing low level atypical BCR-ABL1 transcripts on day +1036 and day +1477 by nested PCR, which disappeared without treatment at both time-points, the patient has to date remained in complete cytogenetic and molecular remission with respect to both CML and MDS-associated aberrations.

In summary this disease and treatment course of CML with atypical BCR-ABL1 transcript, MDS and clonal evolution demonstrates the initial coexistence of two distinct diseases with development of TKI-refractory CML in the absence of a BCR-ABL kinase domain mutation.

Moreover, we to our knowledge for the first time demonstrate that long-term combined treatment with dasatinib and azacitidine is feasible and well tolerated, and may be capable of slowing disease progression. This combination may also be warranted for treatment of CML patients responding poorly to standard therapy.

Review of the literature

The above case provides several interesting insights into the relation of evolving cytogenetic and molecular findings during the development of CML-associated myelodysplasia, the kinetics of clonal evolution and therapeutic options in the face of TKI failure. We here review

these different aspects and the current understanding of their impact on prognosis, and discuss them in context of the individual patient described above.

Variant Bcr-Abl translocations and atypical transcripts

Variant BCR-ABL translocations involve more or other chromosomes than chromosomes 9 and 22. Their frequency in CML patients is approximately 6% [17], [18] and in the pre-imatinib era the prognosis was suggested to be inferior [2], [19], [20]. With TKI-based therapy conflicting results have been reported: an inferior prognosis was suggested by Stagno et al. based on a small cohort of 10 CML patients treated with imatinib or nilotinib as first-line therapy (7 suboptimal response, one TKI failure and 2 optimal responses) [21]. In contrast El-Zimarty and Marzochchi et al. reported that response and outcome of 30 patients treated with imatinib was identical to that of 44 patients harboring the common t(9;22) translocation in terms of CCyR, MMR [18], [22]. Variant translocations have been speculated to be markers of genomic instability [20], [21] with a consequently inferior prognosis, but they do not constitute a warning sign according to ELN criteria [11] (see also Tab.1).

Atypical BCR-ABL1 transcripts have different sizes and breakpoints compared to the usual p210 or p190 transcript and have been reported in approximately 1-2% of BCR-ABL1 positive ALL patients [23] and more sporadically in CML [24]–[27]. Atypical transcripts are usually noticed on polyacrylamide gel in conventional PCR because of their different size, but may be missed in some cases. Failure to identify atypical transcripts can have a negative impact on treatment outcome due to inadequate disease monitoring (see also Tab.1). As in our case, quantification in RT PCR assays can be difficult, particularly when transcript numbers are low. Therefore, qualitative detection in a nested PCR approach can be helpful. The p190^{Bcr-Abl} b2a3 (or e1a3) transcript detected in our patient has so far been reported only in rare cases of CML [28], [29] and ALL[30], [31]. It has been proposed that atypical transcripts lacking exon a2 should have a more benign course of the disease [16], [28]. In line with this, several

publications describe a benign course under TKI treatment in CML patients with these transcripts [32]–[36] (see also Tab.1).

Contrary to these reports, our patient displayed an unfavorable course of the disease with primary resistance to imatinib, an only brief CCyR of less than a year duration on nilotinib and a temporary cytogenetic response to dasatinib, leading to the indication for allogenic SCT as discussed below.

Additional chromosomal aberrations (ACAs) in Ph-positive clones

Additional chromosomal aberrations (ACAs) can occur in the Ph positive and Ph-negative clones. ACAs in the Ph-positive clone occur in approximately 5% of patients overall, and increase in frequency in late chronic, accelerated and blast phase CML (30-80%) [37]. Chromosomes Y, 7, 8 or 19 are involved most frequently [37], [38]. Presence of ACAs already at diagnosis has been suggested by Luatti et al. to have a negative impact on prognosis with imatinib, based on delayed achievement of CCyR and MMR. These authors propose closer monitoring, in particular with major route chromosomal aberrations [37]. ACAs in a Ph-positive clone developing during TKI are considered hallmarks of clonal evolution, and are variably associated with imatinib-resistance [38]. While some studies showed no adverse impact of ACAs on the probability of achieving a MMR, other reports have linked ACAs with an adverse prognosis with TKI treatment (imatinib) [39]–[41] as well as in the pre-TKI era [42]. Despite the uncertain clinical relevance of ACAs representing clonal evolution, current ELN guidelines consider ACAs as a „warning sign“ [11].

Additional chromosomal aberrations (ACAs) in Ph-negative clones

The appearance of ACAs in Ph-negative cells is a rare occurrence, has been observed under treatment with interferon- α and imatinib [43], and most frequently involves chromosomes 8,7 and Y. Unmasking of preexisting ACAs by treatment appears to be the most common cause [44], but the possibility that imatinib itself could induce ACAs by impairing DNA damage repair

has been raised in several preclinical reports [45]–[47]. The overall role of imatinib in promoting ACA development remains unclear, however [44]. The clinical relevance of ACAs in a Ph-negative clone is also uncertain. Most ACAs are typical of those seen in AML and MDS, but very few CML patients with ACAs actually developed clinically overt MDS (11%) and progression to AML appears to be even less frequent [43], [48], [49]. The clinical course following emergence of ACAs is highly variable: Some studies report an incidence of ACAs of 3.4% to 8.7% under imatinib treatment, a median time to appearance of 13.3 months and no association with MDS or CML progression [48], [50], [51] or a negative impact on outcome [17], [52] and in some cases even an only transient appearance is described [50]. The presence of ACAs in Ph-negative seem to have no impact on the median time to CCyR with imatinib-treatment, or on overall and progression free survival [52] (see Tab.1).

In monosomy 7 in particular, results are variable: Kovitz et al. identified 17 patients treated with imatinib who developed MDS or AML. Ten of these patients had chromosome 7 abnormalities, in 5 cases a monosomy 7, suggesting that monosomy 7 denotes a higher probability for appearance of an MDS [53]. Other published reports on small series of MDS cases coinciding with ACAs suggest a poor prognosis of patients with monosomy 7 [53], [54]. In 2011 Groves et al. reported that patients with monosomy 7 or del(7q) in Ph neg. clones in CML have a significant risk of a second myeloid malignancy, with 15 of 50 patients developing MDS or AML within 6 months of ACA detection [55]. However, benign disease course has also been described [56], without the appearance of MDS despite of the presence of monosomy 7 [48], [50], [51].

In summary, detection of ACAs warrants continued cytogenetic analyses rather than reliance on monitoring only of BCR-ABL1 transcripts. Any therapeutic interventions have to be considered on the basis of the individual ACA. For example, appearance of monosomy 7 alone, without clinical signs of myelodysplasia is a warning sign but does not constitute an indication for MDS-directed therapy [52]. These patients should be monitored closely in order not to miss development of MDS, but for individual patients, clinical decisions need to consider the considerable heterogeneity in outcome among patients with monosomy 7 and dysplasia, as

both benign courses as well as rapid progression to AML have been described. Further studies are needed to elucidate the reasons underlying the variable prognosis of CML patients with ACAs and myelodysplasia.

Myelodysplasia in CML

Myelodysplasia in CML patients can be observed as TKI-related side effect and as a development of an MDS/MPN overlap syndrome [57]. An MDS may be suspected in case of unexplained cytopenia, which must be distinguished from the initial and usually transient cytopenia that may occur during the early period of TKI therapy, which if prolonged is an adverse risk feature in CP-CML, and from the cytopenias associated with accelerated phase CML [15]. The frequency of severe neutro- and thrombopenias and anemia are reported in a range of 4%-21%, 2%-12% thrombopenia and 0%-10%, respectively and are comparable with nilotinib and dasatinib treatment [58]. Overall, MDS is a rare cause of cytopenia in patients with CML [15], [53]; a causal relationship with TKI treatment has been postulated partly because MDS has not been observed during interferon-alpha treatment [59]. Coexistence of dysplasia and myeloproliferative features resembling an MPN/MDS overlap syndrome constitutes a specific entity classified as atypical CML/MDS [60]. It is defined as a BCR-ABL1 negative disease with less than 20% blasts in the bone marrow and hypercellular granulocytic expansion with dysplasia by WHO criteria [61], [62] and for which no certain therapy has been established to date [63]. The association between ACAs typical of MDS, e.g. monosomy 7 and myelodysplasia is described above and in Tab.1.

In our patient, MDS was initially classified as intermediate-1 by IPSS and high by WPSS. Clinical evidence for myelodysplasia was first noted 7.5 years after CML was diagnosed and 5.5 years after detection of monosomy 7, which coincided with start TKI-therapy, illustrating the long latency period until the myelodysplasia became clinically apparent.

Recurrent molecular aberrations

During the course of the disease our patient developed 3 additional mutations: KRAS, ASXL1 and ETV6. Backtracking by molecular analysis of cryopreserved probes demonstrated that these genomic aberrations had not been present when MDS was clinically first diagnosed (see Fig.2). KRAS belongs to the RAS superfamily of signaling proteins. Aberrant RAS function is associated with hyperproliferative developmental disorders and cancers [64], [65]. KRAS mutations occur with a frequency below 5% in different subtypes of MDS and its prognostic relevance remains uncertain [66]. In CML, RAS mutations are very rare and their precise role in disease development and prognostic relevance is controversial [64], [67], [68] although they have been associated with imatinib resistance in individual patients with CML [69]. ASXL1 is a histone modifying enzyme and therefore it is a part of the epigenetic regulatory machinery. Loss-of-function mutations of ASXL1 are found in 11-21% of MDS patients and in 10-15% of patients with myeloproliferative syndromes and are associated with a poor prognosis [70]–[73]. In CML, ASXL1 mutations have been reported in CP and BP and are relatively frequent [71]. It is not clear whether they are late or early events during disease development but they seem to contribute to disease progression [74]. ETV6 encodes a transcription factor and is frequently involved in translocations and deletions in hematologic malignancies [75]. ETV6-PDGFRB translocations for example have been described in AML secondary to MDS and in MDS patients with high risk features [76], [77]. A large study by Haferlach et al. revealed that ETV6 rearrangements occur rarely in MDS (0,2%) [75], whereas data on ETV6 mutations in CML is extremely limited, with few case reports [78] and an apparent association with atypical BCR-ABL1 negative CML [79]. Therefore it's prognostic impact is unknown to date (see Tab.1).

In our patient, the appearance of KRAS, ASXL1 and ETV6 mutations were markers of disease progression and considered to portend an unfavorable prognosis (see Fig.2), which in conjunction with appearance of myelodysplasia prompted addition of azacitidine. To date, no other data on prolonged combined treatment with TKI and a hypomethylating agent in the setting of CML chronic phase and myelodysplasia had been reported.

Treatment options for TKI failure in CML

The criteria for TKI failure are regularly updated and are described in the ELN guidelines [11]. They include a lack of hematologic, cytogenetic and molecular responses at specified timepoints (3, 6 and 12 months after TKI start). Therapeutic options include a switch to other 2nd or 3rd generation TKIs considering kinase domain mutational status and risk of side effects, HSCT (extensively reviewed by [80]–[90]) or experimental treatment in a clinical trial [11]. Novel agents in current clinical testing include allosteric BCR/ABL inhibitors (ABL001) [91]–[93], autophagy inhibitors (hydrochloroquine) [94]–[96], JAK2 inhibitors (Ruxolitinib) [97] and modulation of immune checkpoints by antibodies, e.g. nivolumab [98]–[103]. Notably, there are no reports to date on the outcome of transplantation in CML-associated myelodysplasia as described in this report.

TKI treatment after allogeneic HSCT

As HSCT is performed today mainly in high risk CML patients in case of TKI failure [80]–[90] a post-transplant TKI strategy becomes more and more important. Current recommendations suggest clearly a continuation of TKI treatment after HSCT if performed due to BC [104]. If HSCT was performed in AP or CP-CML there are in principle a preemptive and a MRD triggered approach like in Ph+ ALL [105]. To date there are no clear recommendations on that, but a strict MRD monitoring is essential after HSCT and in case of TKI treatment one has to consider the following caveats: Data is available mainly for Imatinib, but most patients who underwent transplant showed initial resistance to Imatinib and data about second and third generation TKIs are limited. If TKIs are administered prophylactically, TKI treatment can be started within the first month after HSCT and is in general well tolerated [106], although one has to be aware of drug to drug interactions (immunosuppressive treatment) and a potential weak haematopoiesis. In case of relapse upon routine Bcr/Abl measurement, molecular, cytogenetic and hematologic relapse after HSCT can often successfully be treated with TKIs [107] and there is also an option in donor lymphocyte infusion in combination with TKI treatment [108].

Rationale for Azacitidine and TKI combination therapy

Clinical experience with hypomethylating agents in CML is limited. High-dose decitabine was reported in a study with CML patients in accelerated or blast phase in the pre TKI era [109]. Decitabine at a dose of 750-1000 mg/m² per course for 5 days was administered to 20 patients in blast phase and 17 patients in accelerated phase. Objective response rate was 25% and 53% in blast phase and accelerated phase, respectively. Patients in blast phase reached CHR in 10%, pCyR in 5% and 15% had bone marrow CR without platelet recovery. Of the patients treated, 35% returned to second chronic phase (with 2 patients showing pCyR) and 18% showed hematologic improvement or partial hematologic response. Low-dose decitabine was administered to 5 CML patients at a dose of 15-20mg/m² intravenously for a 10, 15 or 20 day cycle (1 chronic, 1 accelerated and 3 blast phase patients). 2 patients achieved a partial and 2 a complete hematologic response [110]. The same group reported a phase II study of low-dose decitabine in CML patients resistant to imatinib. 12 patients in chronic, 17 patients in accelerated and 6 patients in blast phase were treated with 10-15 mg/m² for 10 days every 6 weeks. A CHR was reached by 17-50%, a pHR in 33-17%, a major CyR in 17-25% and a minor CyR in 17-33% of patients [111].

Azacitidine treatment in combination with immunosuppressive drugs have been reported to be beneficial in rare cases of MDS [112]. The combination of a hypomethylating agent and a TKI was tested in two interesting studies: in a phase II study combining low-dose decitabine (15 mg/m² for 5 days) with imatinib (600mg /day) in patients with accelerated (n=18) and blast phase (n=10), the CHR rate was 20% and 39%, respectively, and the major CyR rate 17% and 20% [113]. In another study reported by Ghez et al., 5 patients in myeloid blast crisis were treated with the combination of 5-azacitidine for 7 days in 28 day cycles in combination with a second generation TKI. All patients achieved a CHR and two showed a CyR and a MMR after 3-10 months of treatment [114]. The feasibility and efficacy of long term combination of a TKI for MDS secondary to CML has not yet been explored.

Our patient had an indication for treatment with azacitidine on the basis of her worsening risk score (evolving to intermediate-2 after 6 months after diagnosis), with persistent grade 3-4 neutropenia, transfusion dependency and increasing bone marrow fibrosis. Initially it was

difficult to distinguish whether the dysplasia with cytopenia was therapy-associated or reflected progression of CML. Allogeneic HSCT was indicated based on the course of her CML but no matched sibling or unrelated donor was available, and no 3rd generation TKI was approved at that time. The decision for combining azacitidine and dasatinib was made in the face of the following caveats: lack of data on combined dasatinib and azacitidine, the risk of aggravating cytopenia and the potential for drug-drug interactions. The subsequent clinical course was characterized by sustained CCyR, but with persistence of detectable BCR-ABL1 transcripts. The MDS remained clinically and cytogenetically stable for 3 years, with appearance of a K-Ras mutation as a possible negative prognostic factor for acceleration of MDS into AML [115], [116]. Despite these adverse genetic findings the patient's clinical course remained stable for an additional several months with continued combination therapy.

Our rationale for combining a TKI with a hypomethylating agent was supported by preclinical data: DNA methylation stimulates carcinogenesis by modification of DNA expression and consecutive silencing of tumor suppressors [117]. It has been shown that DNA methylation increases in progressive disease in CML [118] and furthermore that hypomethylating agents have single-agent activity in CML even in imatinib resistant cases [111], [119]. Moreover, synergistic effects of imatinib and decitabine had previously been shown in vitro in CML [120]. Accordingly, combined administration of hypomethylating agents and TKIs had the potential for enhanced and possibly synergistic activity compared with single agent treatment.

Summary

This case demonstrates an unusual course of CML, in which a variant translocation (t(9;22;17)) and an aberrant BCR-ABL transcript (e1a3) were detected at initial diagnosis, the latter being apparent not by routine RT-PCR but in nested PCR analysis. Primary treatment failure in response to imatinib according to ELN guidelines [11] prompted switching to Nilotinib but was complicated by acquisition of additional chromosomal abnormalities (monosomy 7) in a Ph negative clone. Nilotinib treatment resulted in a transient CCyR but no major molecular response (MMR). Cytogenetic relapse accompanied by pancytopenia posed a diagnostic

challenge with a differential diagnosis of acceleration of the CML or emergence of b MDS. This cytogenetic relapse was treated with a switch to Dasatinib. Based on cytologic features during the further disease course, with pronounced dysplasia of the megakaryocyte and erythroid lineages, severe granulocytopenia but normal blast cell content, and cytogenetic detection of monosomy 7, a diagnosis of MDS was established. This prompted addition of azacitidine to dasatinib treatment, which was well tolerated and achieved prolonged clinical stabilization. Subsequent evidence of clonal evolution was development of a K-RAS mutation and loss of cytogenetic remission after 4 years under combination treatment.

Haploidentical BMT was performed as potentially curative therapy, resulting in a sustained complete cytogenetic remission, full donor chimerism and undetectable BCR-ABL1 (checking for both typical and atypical transcripts) except for one intercurrent molecular relapse 2.5 years after transplant that was successfully treated with Nilotinib and two further detections revealing low level atypical BCR-ABL1 transcripts on day +1036 and day +1477 disappearing without treatment.

This case exemplifies the feasibility of long-term combined therapy with a hypomethylating agent and a TKI in patients with CML coincident with MDS, but also highlights the continued importance of allogeneic HSCT, including alternative donor transplant, as a definite curative treatment option. The pivotal role of appropriate molecular monitoring, including of atypical BCR-ABL1 transcripts and awareness of additional aberrations unrelated to CML but diagnostic of a second hematologic malignancy such as MDS is also emphasized.

Author contributions

F.L. and O.G.O. treated the patient, reviewed the literature and wrote the manuscript. H.P. and S.S. analysed patient material and reviewed the manuscript. L.W., and G.B, treated the patient and reviewed the manuscript.

Disclosures and Acknowledgements

F.L. receives support from the Frankfurter Förderung “Nachwuchswissenschaftler” and the EUTOS funding program. F.L. and O.G.O. had advisory roles for Novartis, Ariad, Sanofi Aventis and Bristol-Myers Squibb. F.L. received funding of Novartis. O.G.O. was funded by Novartis, Bristol-Myers Squibb and the Deutsche José Carreras Leukämie Stiftung.

All other authors declare no conflict of interest.

Ethical aspects and patient rights

The patient consented to usage of biomaterial and patient related information in an anonymised fashion according to local regulations.

Figure legends

Table 1: Uncommon prognostic features of CML represented in this case

Prognostically relevant features illustrated in this case are generally of low frequency (except of ASXL1 mutation). Nevertheless, their influence on overall prognosis, while variable ranging from worsening prognosis to an uncertain role, have to be considered and should prompt rigorous BCR-ABL monitoring even when this is technically difficult such as in case of atypical transcripts.

Table 2: Results of cytogenetic analysis

The results of the continuous cytogenetic analysis are shown and illustrate clonal evolution and development of additional chromosomal aberrations and monosomy 7 under different subsequent therapies in this case including azacitidine and dasatinib combination. The numbers of detected cytogenetic abnormal cells are indicated in [/].

Figure 1A-C Blood count:

A Haemoglobin levels

The haemoglobin levels over time represent the course of the disease showing a transfusion independent anemia (grade 1-2). Hb levels are presented in g/dl.

B Thrombocyte count

Thrombocyte count also over time reflects disease progression with mild thrombocytopenia (grade 1-2), not resulting in any bleeding complications. Thrombocyte counts are shown in thrombocytes /nl.

C Absolute neutrophil count

The absolute neutrophil count is the most sensitive parameter in the course of the disease of this patient. The progression results in a severe grade 4 (severe) granulocytopenia requiring antibiotic prophylaxis. ANC is shown in neutrophils /nl. Severe granulocytopenia did not change under dasatinib / azacitidine treatment.

Figure 2: Disease and treatment history

The emergence of different clones and molecular aberrations correlates with the development of MDS and the loss of cytogenetic response. Notably, appearance of monosomy 7 predates manifestation of MDS by 2 years. The corresponding therapeutic regimens are shown, demonstrating prolonged disease stabilization by combined dasatinib and azacitidine treatment for 4 years. The atypical BCR-ABL transcript was detectable continuously prior to SCT. Worsening of red blood count (RBC) and platelet count (Plts) are indicated by * and # respectively.

503 Literature

- 504 [1] R. Hehlmann, A. Hochhaus, and M. Baccarani, "Chronic myeloid leukaemia," *Lancet*,
505 vol. 370, no. 9584, pp. 342–350, 2007.
- 506 [2] M. W. Deininger, J. M. Goldman, and J. V Melo, "The molecular biology of chronic
507 myeloid leukemia," *Blood*, vol. 96, no. 10, pp. 3343–3356, Nov. 2000.
- 508 [3] J. M. Goldman, "Chronic Myeloid Leukemia: A Historical Perspective," *Semin.*
509 *Hematol.*, vol. 47, no. 4, pp. 302–311, 2010.
- 510 [4] B. Chereda and J. V Melo, "Natural course and biology of CML," *Ann. Hematol.*, vol.
511 94 Suppl 2, no. 2015, pp. 107–21, 2015.
- 512 [5] "National Comprehensive Cancer Network. NCCN Clinical Practice Guidelines in
513 Oncology: Chronic Myelogenous Leukemia," vol. V 2. 2011.
- 514 [6] J. Hasford, M. Baccarani, V. Hoffmann, J. Guilhot, S. Saussele, G. Rosti, F. Guilhot,
515 K. Porkka, G. Ossenkoppele, D. Lindorfer, B. Simonsson, M. Pfirrmann, and R.
516 Hehlmann, "Predicting complete cytogenetic response and subsequent progression-
517 free survival in 2060 patients with CML on imatinib treatment: the EUTOS score.,"
518 *Blood*, vol. 118, no. 3, pp. 686–692, Jul. 2011.
- 519 [7] J. E. Sokal, E. B. Cox, M. Baccarani, S. Tura, G. A. Gomez, J. E. Robertson, C. Y.
520 Tso, T. J. Braun, B. D. Clarkson, and F. Cervantes, "Prognostic discrimination in
521 'good-risk' chronic granulocytic leukemia.," *Blood*, vol. 63, no. 4, pp. 789–799, Apr.
522 1984.
- 523 [8] J. Hasford, M. Pfirrmann, R. Hehlmann, N. C. Allan, M. Baccarani, J. C. Kluin-
524 Nelemans, G. Alimena, J. L. Steegmann, and H. Ansari, "A new prognostic score for
525 survival of patients with chronic myeloid leukemia treated with interferon alfa. Writing
526 Committee for the Collaborative CML Prognostic Factors Project Group.," *J Natl*
527 *Cancer Inst*, vol. 90, no. 11, pp. 850–858, Jun. 1998.
- 528 [9] M. Baccarani, G. Saglio, J. Goldman, A. Hochhaus, B. Simonsson, F. Appelbaum, J.
529 Apperley, F. Cervantes, J. Cortes, M. Deininger, A. Gratwohl, F. Guilhot, M. Horowitz,
530 T. Hughes, H. Kantarjian, R. Larson, D. Niederwieser, R. Silver, R. Hehlmann, and ,
531 European LeukemiaNet, "Evolving concepts in the management of chronic myeloid
532 leukemia: recommendations from an expert panel on behalf of the European
533 LeukemiaNet.," *Blood*, vol. 108, no. 6, pp. 1809–1820, Sep. 2006.
- 534 [10] M. Baccarani, J. Cortes, F. Pane, D. Niederwieser, G. Saglio, J. Apperley, F.
535 Cervantes, M. Deininger, A. Gratwohl, F. Guilhot, A. Hochhaus, M. Horowitz, T.
536 Hughes, H. Kantarjian, R. Larson, J. Radich, B. Simonsson, R. T. Silver, J. Goldman,
537 R. Hehlmann, and , European LeukemiaNet, "Chronic myeloid leukemia: an update of
538 concepts and management recommendations of European LeukemiaNet.," *J Clin*
539 *Oncol*, vol. 27, no. 35, pp. 6041–6051, 2009.
- 540 [11] M. Baccarani, M. W. Deininger, G. Rosti, A. Hochhaus, S. Soverini, J. F. Apperley, F.
541 Cervantes, R. E. Clark, J. E. Cortes, F. Guilhot, H. Hjorth-Hansen, T. P. Hughes, H. M.
542 Kantarjian, D.-W. Kim, R. A. Larson, J. H. Lipton, F.-X. Mahon, G. Martinelli, J. Mayer,
543 M. C. Mueller, D. Niederwieser, F. Pane, J. P. Radich, P. Rousselot, G. Saglio, S.
544 Sauße, C. Schiffer, R. Silver, B. Simonsson, J.-L. Steegmann, J. M. Goldman, and
545 R. Hehlmann, "European LeukemiaNet recommendations for the management of
546 chronic myeloid leukemia: 2013.," *Blood*, vol. 122, no. 6, pp. 872–884, Aug. 2013.
- 547 [12] M. J. Thirman and R. A. Larson, "Therapy-related myeloid leukemia.," *Hematol Oncol*
548 *Clin North Am*, vol. 10, no. 2, pp. 293–320, Apr. 1996.
- 549 [13] J. D. Rowley, H. M. Golomb, and J. W. Vardiman, "Nonrandom chromosome
550 abnormalities in acute leukemia and dysmyelopoietic syndromes in patients with
551 previously treated malignant disease.," *Blood*, vol. 58, no. 4, pp. 759–767, 1981.
- 552 [14] J. Pedersen-Bjergaard, M. K. Andersen, D. H. Christiansen, and C. Nerlov, "Genetic

553 pathways in therapy-related myelodysplasia and acute myeloid leukemia.," *Blood*, vol.
554 99, no. 6, pp. 1909–1912, 2002.

555 [15] A. Schmitt-Graeff and A. Hochhaus, "[Hematological side effects of tyrosine kinase
556 inhibition using imatinib].," *Pathologie*, vol. 27, no. 1, pp. 40–46, Feb. 2006.

557 [16] L.-G. Liu, H. Tanaka, K. Ito, T. Kyo, T. Ito, and A. Kimura, "Chronic myelogenous
558 leukemia with e13a3 (b2a3) type of BCR-ABL transcript having a DNA breakpoint
559 between ABL exons a2 and a3.," *Am J Hematol*, vol. 74, no. 4, pp. 268–272, 2003.

560 [17] A. Fabarius, A. Leitner, A. Hochhaus, M. C. Mueller, B. Hanfstein, C. Haferlach, G.
561 Goehring, B. Schlegelberger, M. Jotterand, A. Reiter, S. Jung-Munkwitz, U. Proetel, J.
562 Schwaab, W.-K. Hofmann, J. Schubert, H. Einsele, A. D. Ho, C. Falge, L. Kanz, A.
563 Neubauer, M. Kneba, F. Stegelmann, M. Pfreundschuh, C. F. Waller, K. Spiekermann,
564 G. M. Baerlocher, M. Lauseker, M. Pfirrmann, J. Hasford, S. Saussele, R. Hehlmann, ,
565 Schweizerische Arbeitsgemeinschaft fuer Klinische Krebsforschung (S. A. K. K), and
566 the German CML Study Group, "Impact of additional cytogenetic aberrations at
567 diagnosis on prognosis of CML: long-term observation of 1151 patients from the
568 randomized CML Study IV.," *Blood*, vol. 118, no. 26, pp. 6760–6768, 2011.

569 [18] M. M. T. El-Zimaity, H. Kantarjian, M. Talpaz, S. O'Brien, F. Giles, G. Garcia-Manero,
570 S. Verstovsek, D. Thomas, A. Ferrajoli, K. Hayes, B. Nebiyu Bekele, X. Zhou, M. B.
571 Rios, A. B. Glassman, and J. E. Cortes, "Results of imatinib mesylate therapy in
572 chronic myelogenous leukaemia with variant Philadelphia chromosome.," *Br J*
573 *Haematol*, vol. 125, no. 2, pp. 187–195, Apr. 2004.

574 [19] P. B. Sinclair, E. P. Nacheva, M. Leversha, N. Telford, J. Chang, A. Reid, A. Bench, K.
575 Champion, B. Huntly, and A. R. Green, "Large deletions at the t(9;22) breakpoint are
576 common and may identify a poor-prognosis subgroup of patients with chronic myeloid
577 leukemia.," *Blood*, vol. 95, no. 3, pp. 738–743, Feb. 2000.

578 [20] S. Faderl, T. Moshe, Z. Estrov, S. O'Brien, R. Kurzrock, and H. Kantarjian, "The
579 Biology of Chronic Myeloid Leukemia," *N. Engl. J. Med.*, vol. 16, pp. 164–172, 1999.

580 [21] F. Stagno, P. Vigneri, V. Del Fabro, S. Stella, A. Cupri, M. Massimino, C. Consoli, L.
581 Tambè, M. L. Consoli, A. Antolino, and F. Di Raimondo, "Influence of complex variant
582 chromosomal translocations in chronic myeloid leukemia patients treated with tyrosine
583 kinase inhibitors.," *Acta Oncol*, vol. 49, no. 4, pp. 506–508, 2010.

584 [22] G. Marzocchi, F. Castagnetti, S. Luatti, C. Baldazzi, M. Stacchini, G. Gugliotta, M.
585 Amabile, G. Specchia, M. Sessarego, U. Giussani, L. Valori, G. Discepoli, A. Montaldi,
586 A. Santoro, L. Bonaldi, G. Giudici, A. M. Cianciulli, F. Giacobbi, F. Palandri, F. Pane,
587 G. Saglio, G. Martinelli, M. Baccarani, G. Rosti, N. Testoni, and , Gruppo Italiano
588 Malattie E Matologiche dell'Adulto (G. I. M. E. M. A) Working Party on Chronic Myeloid
589 Leukemia, "Variant Philadelphia translocations: molecular-cytogenetic characterization
590 and prognostic influence on frontline imatinib therapy, a GIMEMA Working Party on
591 CML analysis.," *Blood*, vol. 117, no. 25, pp. 6793–6800, Jun. 2011.

592 [23] T. Burmeister, S. Schwartz, A. Taubald, E. Jost, T. Lipp, F. Schneller, H. Diedrich, H.
593 Thomssen, U. J. M. Mey, J. Eucker, H. Rieder, N. Goekbuget, D. Hoelzer, and E.
594 Thiel, "Atypical BCR-ABL mRNA transcripts in adult acute lymphoblastic leukemia.,"
595 *Haematologica*, vol. 92, no. 12, pp. 1699–1702, 2007.

596 [24] J. V Melo, "The diversity of BCR-ABL fusion proteins and their relationship to leukemia
597 phenotype.," *Blood*, vol. 88, no. 7, pp. 2375–2384, 1996.

598 [25] K. Okamoto, M. Karasawa, H. Sakai, H. Ogura, K. Morita, and T. Naruse, "A novel
599 acute lymphoid leukaemia type BCR/ABL transcript in chronic myelogenous
600 leukaemia.," *Br J Haematol*, vol. 96, no. 3, pp. 611–613, 1997.

601 [26] A. Hochhaus, A. Reiter, H. Skladny, J. V Melo, C. Sick, U. Berger, J. Q. Guo, R. B.
602 Arlinghaus, R. Hehlmann, J. M. Goldman, and N. C. Cross, "A novel BCR-ABL fusion
603 gene (e6a2) in a patient with Philadelphia chromosome-negative chronic myelogenous

leukemia.," *Blood*, vol. 88, no. 6, pp. 2236–2240, Sep. 1996.

[27] E. O. Leibundgut, M. Jotterand, V. Rigamonti, V. Parlier, D. Muehlematter, A. Tobler, and M. Solenthaler, "A novel BCR-ABL transcript e2a2 in a chronic myelogenous leukaemia patient with a duplicated Ph-chromosome and monosomy 7.," *Br J Haematol*, vol. 106, no. 4, pp. 1041–1044, Sep. 1999.

[28] H.-K. Al-Ali, S. Leiblein, I. Kovacs, E. Hennig, D. Niederwieser, and M. W. N. Deininger, "CML with an e1a3 BCR-ABL fusion: rare, benign, and a potential diagnostic pitfall.," *Blood*, vol. 100, no. 3, pp. 1092–1093, Aug. 2002.

[29] J. Martinez-Serra, R. Del Campo, A. Gutierrez, J. L. Antich, M. Ginard, M. a Durán, L. Bento, T. Ros, J. C. Amat, C. Vidal, J. F. Iglesias, I. Orlinska, and J. Besalduch, "Chronic myeloid leukemia with an e1a3 BCR-ABL fusion protein: transformation to lymphoid blast crisis.," *Biomark. Res.*, vol. 2, p. 14, 2014.

[30] L. SE, "The e1a3 BCR-ABL1 fusion transcript in philadelphia chromosome-positive acute lymphoblastic leukemia.," *Ann Lab Med.*, vol. 35(5), pp. 540–1, 2015.

[31] R. J. Sonu, B. A. Jonas, D. M. Dwyre, J. P. Gregg, and H. H. Rashidi, "Optimal Molecular Methods in Detecting p190 (BCR-ABL) Fusion Variants in Hematologic Malignancies: A Case Report and Review of the Literature.," *Case Rep. Hematol.*, vol. 2015, p. 458052, 2015.

[32] B. Pienkowska-Grela, R. Woroniecka, I. Solarska, K. Kos, A. Pastwińska, L. Konopka, and M. Majewski, "Complete cytogenetic and molecular response after imatinib treatment for chronic myeloid leukemia in a patient with atypical karyotype and BCR-ABL b2a3 transcript," *Cancer Genet. Cytogenet.*, vol. 174, no. 2, pp. 111–115, 2007.

[33] C. A. O'Brien, A. Pollett, S. Gallinger, and J. E. Dick, "A human colon cancer cell capable of initiating tumour growth in immunodeficient mice.," *Nature*, vol. 445, no. 7123, pp. 106–110, Jan. 2007.

[34] M. Masuko, T. Furukawa, T. Abe, R. Wada, S. Maruyama, T. Kitajima, Y. Shibasaki, K. Toba, M. Okada, and Y. Aizawa, "A chronic myeloid leukemia patient with atypical karyotype and BCR-ABL e13a3 transcript caused by complex chromosome rearrangement," *Int. J. Hematol.*, vol. 90, no. 2, pp. 230–234, 2009.

[35] D. S. Snyder, R. McMahon, S. R. Cohen, and M. L. Slovak, "Chronic Myeloid Leukemia with an e13a3 BCR-ABL Fusion: Benign Course Responsive to Imatinib with an RT-PCR Advisory," *Am. J. Hematol.*, vol. 75, no. 2, pp. 92–95, 2004.

[36] S. L. McCarron, S. E. Langabeer, K. Bolger, K. Haslam, M. Crampe, J. Kelly, and R. Morrell, "Molecular response to imatinib in chronic myeloid leukaemia with a variant e13a3 BCR-ABL1 fusion," *Med. Oncol.*, vol. 32, no. 2, p. 452, 2015.

[37] S. Luatti, F. Castagnetti, G. Marzocchi, C. Baldazzi, G. Gugliotta, I. Iacobucci, G. Specchia, L. Zanatta, G. Rege-Cambrin, R. Cambrin, M. Mancini, E. Abruzzese, A. Zaccaria, M. G. Grimoldi, A. Gozzetti, G. Ameli, M. A. Capucci, G. Palka, P. Bernasconi, F. Palandri, F. Pane, G. Saglio, G. Martinelli, G. Rosti, M. Baccarani, N. Testoni, and Gruppo Italiano Malattie Ematologiche dell'Adulto (G. I. M. E. M. A) Working Party on C. M. L., "Additional chromosomal abnormalities in Philadelphia-positive clone: adverse prognostic influence on frontline imatinib therapy: a GIMEMA Working Party on CML analysis.," *Blood*, vol. 120, no. 4, pp. 761–767, Jul. 2012.

[38] L. Falchi, G. Rege-Cambrin, C. Fava, E. Donti, D. Luzi, E. Giugliano, M. Gubbiotti, M. Schippa, and A. M. Liberati, "Sustained molecular remissions are achievable with tyrosine kinase inhibitor therapy in patients with chronic myeloid leukemia and additional cytogenetic clonal evolution.," *Cancer Genet Cytogenet*, vol. 199, no. 2, pp. 139–142, Jun. 2010.

[39] E. Jabbour and H. Kantarjian, "Introduction: chronic myelogenous leukemia (CML).," *Semin Hematol*, vol. 44, no. 1 Suppl 1, pp. S1--S3, Jan. 2007.

- 655 [40] S. Marktel, D. Marin, N. Foot, R. Szydlo, M. Bua, A. Karadimitris, V. A. S. De Melo, P.
656 Kotzampaltiris, F. Dazzi, A. Rahemtulla, E. Olavarria, J. F. Apperley, and J. M.
657 Goldman, "Chronic myeloid leukemia in chronic phase responding to imatinib: the
658 occurrence of additional cytogenetic abnormalities predicts disease progression.,"
659 *Haematologica*, vol. 88, no. 3, pp. 260–267, 2003.
- 660 [41] A. N. Mohamed, P. Pemberton, J. Zonder, and C. A. Schiffer, "The effect of imatinib
661 mesylate on patients with Philadelphia chromosome-positive chronic myeloid leukemia
662 with secondary chromosomal aberrations.," *Clin Cancer Res*, vol. 9, no. 4, pp. 1333–
663 1337, Apr. 2003.
- 664 [42] J. E. Sokal, G. A. Gomez, M. Bacarani, S. Tura, B. D. Clarkson, F. Cervantes, C.
665 Rozman, F. Carbonell, B. Anger, and H. Heimpel, "Prognostic significance of
666 additional cytogenetic abnormalities at diagnosis of Philadelphia chromosome-positive
667 chronic granulocytic leukemia.," *Blood*, vol. 72, no. 1, pp. 294–298, Jul. 1988.
- 668 [43] M. Loriaux and M. Deininger, "Clonal cytogenetic abnormalities in Philadelphia
669 chromosome negative cells in chronic myeloid leukemia patients treated with
670 imatinib.," *Leuk Lymphoma*, vol. 45, no. 11, pp. 2197–2203, Nov. 2004.
- 671 [44] T. Bumm, C. Mueller, H.-K. Al-Ali, K. Krohn, P. Shepherd, E. Schmidt, S. Leiblein, C.
672 Franke, E. Hennig, T. Friedrich, R. Krah, D. Niederwieser, and M. W. N. Deininger,
673 "Emergence of clonal cytogenetic abnormalities in Ph- cells in some CML patients in
674 cytogenetic remission to imatinib but restoration of polyclonal hematopoiesis in the
675 majority.," *Blood*, vol. 101, no. 5, pp. 1941–1949, 2003.
- 676 [45] S. S. Yuan, L. A. Cox, G. K. Dasika, and E. Y. Lee, "Cloning and functional studies of
677 a novel gene aberrantly expressed in RB-deficient embryos.," *Dev Biol*, vol. 207, no. 1,
678 pp. 62–75, 1999.
- 679 [46] T. Shafman, K. K. Khanna, P. Kedar, K. Spring, S. Kozlov, T. Yen, K. Hobson, M.
680 Gatei, N. Zhang, D. Watters, M. Egerton, Y. Shiloh, S. Kharbanda, D. Kufe, and M. F.
681 Lavin, "Interaction between ATM protein and c-Abl in response to DNA damage.,"
682 *Nature*, vol. 387, no. 6632, pp. 520–523, 1997.
- 683 [47] S. Kharbanda, P. Pandey, S. Jin, S. Inoue, A. Bharti, Z. M. Yuan, R. Weichselbaum,
684 D. Weaver, and D. Kufe, "Functional interaction between DNA-PK and c-Abl in
685 response to DNA damage.," *Nature*, vol. 386, no. 6626, pp. 732–735, Apr. 1997.
- 686 [48] C. Terre, V. Eclache, P. Rousselot, M. Imbert, C. Charrin, C. Gervais, M. J.
687 Mozziconacci, O. Maarek, H. Mossafa, N. Auger, N. Dastugue, P. Talmant, J. Van den
688 Akker, C. Leonard, F. N'Guyen Khac, F. Mugneret, F. Viguié, M. Lafage-Pochitaloff, J.
689 N. Bastie, G. L. Roux, F. Nicolini, F. Maloisel, N. Vey, G. Laurent, C. Recher, M.
690 Vigier, Y. Yacouben, S. Giraudier, J. P. Vernant, B. Salles, J. Roussi, S. Castaigne, V.
691 Leymarie, G. Flandrin, M. Lessard, and , France Intergroupe pour la Leucemie
692 Myeloide Chronique, "Report of 34 patients with clonal chromosomal abnormalities in
693 Philadelphia-negative cells during imatinib treatment of Philadelphia-positive chronic
694 myeloid leukemia.," *Leukemia*, vol. 18, no. 8, pp. 1340–1346, Aug. 2004.
- 695 [49] M. E. O'Dwyer, K. M. Gatter, M. Loriaux, B. J. Druker, S. B. Olson, R. E. Magenis, H.
696 Lawce, M. J. Mauro, R. T. Maziarz, and R. M. Braziel, "Demonstration of Philadelphia
697 chromosome negative abnormal clones in patients with chronic myelogenous
698 leukemia during major cytogenetic responses induced by imatinib mesylate.,"
699 *Leukemia*, vol. 17, no. 3, pp. 481–487, 2003.
- 700 [50] J. Medina, H. Kantarjian, M. Talpaz, S. O'Brien, G. Garcia-Manero, F. Giles, M. B.
701 Rios, K. Hayes, and J. Cortes, "Chromosomal abnormalities in Philadelphia
702 chromosome-negative metaphases appearing during imatinib mesylate therapy in
703 patients with Philadelphia chromosome-positive chronic myelogenous leukemia in
704 chronic phase.," *Cancer*, vol. 98, no. 9, pp. 1905–1911, Nov. 2003.
- 705 [51] Y. Lin, H. Bruyère, D. E. Horsman, T. Pantzar, M. J. Barnett, D. E. Hogge, T. J. Nevill,
706 S. H. Nantel, H. J. Sutherland, C. L. Toze, J. D. Shepherd, J. C. Lavoie, K. W. Song,

- C. A. Smith, and D. L. Forrest, "Philadelphia-negative clonal hematopoiesis following imatinib therapy in patients with chronic myeloid leukemia: a report of nine cases and analysis of predictive factors.," *Cancer Genet Cytogenet*, vol. 170, no. 1, pp. 16–23, 2006.
- [52] M. W. N. Deininger, J. Cortes, R. Paquette, B. Park, A. Hochhaus, M. Baccarani, R. Stone, T. Fischer, H. Kantarjian, D. Niederwieser, C. Gambacorti-Passerini, C. So, I. Gathmann, J. M. Goldman, D. Smith, B. J. Druker, and F. Guilhot, "The prognosis for patients with chronic myeloid leukemia who have clonal cytogenetic abnormalities in philadelphia chromosome-negative cells.," *Cancer*, vol. 110, no. 7, pp. 1509–1519, 2007.
- [53] C. Kovitz, H. Kantarjian, G. Garcia-Manero, L. V Abruzzo, and J. Cortes, "Myelodysplastic syndromes and acute leukemia developing after imatinib mesylate therapy for chronic myeloid leukemia.," *Blood*, vol. 108, no. 8, pp. 2811–2813, 2006.
- [54] K. Karimata, M. Masuko, T. Ushiki, T. Kozakai, Y. Shibasaki, T. Yano, T. Abe, M. Moriyama, K. Toba, T. Furukawa, and Y. Aizawa, "Myelodysplastic syndrome with Ph negative monosomy 7 chromosome following transient bone marrow dysplasia during imatinib treatment for chronic myeloid leukemia.," *Intern Med*, vol. 50, no. 5, pp. 481–485, 2011.
- [55] M. J. Groves, M. Sales, L. Baker, M. Griffiths, N. Pratt, and S. Tauro, "Factors influencing a second myeloid malignancy in patients with Philadelphia-negative -7 or del(7q) clones during tyrosine kinase inhibitor therapy for chronic myeloid leukemia.," *Cancer Genet*, vol. 204, no. 1, pp. 39–44, Jan. 2011.
- [56] J.-T. Navarro, E. Feliu, J. Grau, B. Espinet, D. Colomer, J.-M. Ribera, A. Oriol, I. Granada, J. Juncà, and F. Millà, "Monosomy 7 with severe myelodysplasia developing during imatinib treatment of Philadelphia-positive chronic myeloid leukemia: two cases with a different outcome.," *Am J Hematol*, vol. 82, no. 9, pp. 849–851, Sep. 2007.
- [57] T. I. Mughal, N. C. P. Cross, E. Padron, R. V. Tiu, M. Savona, L. Malcovati, R. Tibes, R. S. Komrokji, J. J. Kiladjan, G. Garcia-Manero, A. Orazi, R. Mesa, J. P. Maciejewski, P. Fenaux, R. Itzykson, G. Mufti, E. Solary, and A. F. List, "An international MDS/MPN working group???s perspective and recommendations on molecular pathogenesis, diagnosis and clinical characterization of myelodysplastic/myeloproliferative neoplasms," *Haematologica*, vol. 100, no. 9, pp. 1117–1130, 2015.
- [58] G. Wei, S. Rafiyath, and D. Liu, "First-line treatment for chronic myeloid leukemia: dasatinib, nilotinib, or imatinib.," *J Hematol Oncol*, vol. 3, p. 47, 2010.
- [59] M. Talpaz, R. Hehlmann, A. Quintás-Cardama, J. Mercer, and J. Cortes, "Re-emergence of interferon- α in the treatment of chronic myeloid leukemia.," *Leukemia*, vol. 27, no. 4, pp. 803–12, 2013.
- [60] K. Foucar, "Myelodysplastic/myeloproliferative neoplasms.," *Am J Clin Pathol*, vol. 132, no. 2, pp. 281–289, Aug. 2009.
- [61] J. Vardiman, "The classification of MDS: from FAB to WHO and beyond.," *Leuk Res*, vol. 36, no. 12, pp. 1453–1458, 2012.
- [62] F. Fend, T. Horn, I. Koch, T. Vela, and A. Orazi, "Atypical chronic myeloid leukemia as defined in the WHO classification is a JAK2 V617F negative neoplasm.," *Leuk Res*, vol. 32, no. 12, pp. 1931–1935, 2008.
- [63] R. Kurzrock, C. E. Bueso-Ramos, H. Kantarjian, E. Freireich, S. L. Tucker, M. Siciliano, S. Pilat, and M. Talpaz, "BCR rearrangement-negative chronic myelogenous leukemia revisited.," *J Clin Oncol*, vol. 19, no. 11, pp. 2915–2926, Jun. 2001.
- [64] S. Ouerhani, K. Bougatef, I. Soltani, A. B. A. Elgaai, S. Abbes, and S. Menif, "The prevalence and prognostic significance of KRAS mutation in bladder cancer, chronic myeloid leukemia and colorectal cancer.," *Mol Biol Rep*, vol. 40, no. 6, pp. 4109–4114,

- 758 Jun. 2013.
- 759 [65] M. Malumbres and M. Barbacid, "RAS oncogenes: the first 30 years.," *Nat Rev*
760 *Cancer*, vol. 3, no. 6, pp. 459–465, Jun. 2003.
- 761 [66] M. Cazzola, M. G. Della Porta, and L. Malcovati, "The genetic basis of myelodysplasia
762 and its clinical relevance.," *Blood*, vol. 122, no. 25, pp. 4021–4034, 2013.
- 763 [67] L. Z. He, J. Stephenson, G. Y. He, and G. J. Mufti, "RAS gene mutations in Chinese
764 leukaemia patients and members of a family with high incidence of leukaemia.," *Leuk*
765 *Res*, vol. 20, no. 11–12, pp. 901–903, 1996.
- 766 [68] S. J. Collins, M. Howard, D. F. Andrews, E. Agura, and J. Radich, "Rare occurrence of
767 N-ras point mutations in Philadelphia chromosome positive chronic myeloid
768 leukemia.," *Blood*, vol. 73, no. 4, pp. 1028–1032, 1989.
- 769 [69] A. Agarwal, C. A. Eide, A. Harlow, A. S. Corbin, M. J. Mauro, B. J. Druker, C. L.
770 Corless, M. C. Heinrich, and M. W. Deininger, "An activating KRAS mutation in
771 imatinib-resistant chronic myeloid leukemia.," *Leukemia*, vol. 22, no. 12, pp. 2269–
772 2272, 2008.
- 773 [70] V. Gelsi-Boyer, V. Trouplin, J. Adélaïde, J. Bonansea, N. Cervera, N. Carbuccion, A.
774 Lagarde, T. Prebet, M. Nezri, D. Sainty, S. Olschwang, L. Xerri, M. Chaffanet, M.-J.
775 Mozziconacci, N. Vey, and D. Birnbaum, "Mutations of polycomb-associated gene
776 ASXL1 in myelodysplastic syndromes and chronic myelomonocytic leukaemia.," *Br J*
777 *Haematol*, vol. 145, no. 6, pp. 788–800, Jun. 2009.
- 778 [71] J. Boultonwood, J. Perry, A. Pellagatti, M. Fernandez-Mercado, C. Fernandez-
779 Santamaria, M. J. Calasanz, M. J. Larrayoz, M. Garcia-Delgado, A. Giagounidis, L.
780 Malcovati, M. G. Della Porta, M. Jaedersten, S. Killick, E. Hellstroem-Lindberg, M.
781 Cazzola, and J. S. Wainscoat, "Frequent mutation of the polycomb-associated gene
782 ASXL1 in the myelodysplastic syndromes and in acute myeloid leukemia.," *Leukemia*,
783 vol. 24, no. 5, pp. 1062–1065, 2010.
- 784 [72] J. Rocquain, N. Carbuccion, V. Trouplin, S. Raynaud, A. Murati, M. Nezri, Z. Tadrist, S.
785 Olschwang, N. Vey, D. Birnbaum, V. Gelsi-Boyer, and M.-J. Mozziconacci, "Combined
786 mutations of ASXL1, CBL, FLT3, IDH1, IDH2, JAK2, KRAS, NPM1, NRAS, RUNX1,
787 TET2 and WT1 genes in myelodysplastic syndromes and acute myeloid leukemias.,"
788 *BMC Cancer*, vol. 10, p. 401, 2010.
- 789 [73] F. Thol, I. Friesen, F. Damm, H. Yun, E. M. Weissinger, J. Krauter, K. Wagner, A.
790 Chaturvedi, A. Sharma, M. Wichmann, G. Goehring, C. Schumann, G. Bug, O.
791 Ottmann, W.-K. Hofmann, B. Schlegelberger, M. Heuser, and A. Ganser, "Prognostic
792 significance of ASXL1 mutations in patients with myelodysplastic syndromes.," *J Clin*
793 *Oncol*, vol. 29, no. 18, pp. 2499–2506, Jun. 2011.
- 794 [74] H. Makishima, V. Visconte, H. Sakaguchi, A. M. Jankowska, S. Abu Kar, A. Jerez, B.
795 Przychodzen, M. Bupathi, K. Guinta, M. G. Afable, M. A. Sekeres, R. A. Padgett, R. V
796 Tiu, and J. P. Maciejewski, "Mutations in the spliceosome machinery, a novel and
797 ubiquitous pathway in leukemogenesis.," *Blood*, vol. 119, no. 14, pp. 3203–3210, Apr.
798 2012.
- 799 [75] C. Haferlach, U. Bacher, S. Schnittger, T. Alpermann, M. Zenger, W. Kern, and T.
800 Haferlach, "ETV6 rearrangements are recurrent in myeloid malignancies and are
801 frequently associated with other genetic events.," *Genes Chromosom. Cancer*, vol. 51,
802 no. 4, pp. 328–337, Apr. 2012.
- 803 [76] S. D. Raynaud, M. Baens, J. Grosgeorge, K. Rodgers, C. D. Reid, M. Dainton, M.
804 Dyer, J. G. Fuzibet, N. Gratecos, B. Taillan, N. Ayraud, and P. Marynen,
805 "Fluorescence in situ hybridization analysis of t(3; 12)(q26; p13): a recurring
806 chromosomal abnormality involving the TEL gene (ETV6) in myelodysplastic
807 syndromes.," *Blood*, vol. 88, no. 2, pp. 682–689, Jul. 1996.
- 808 [77] I. A. Voutsadakis and N. Maillard, "Acute myelogenous leukemia with the

809 t(3;12)(q26;p13) translocation: case report and review of the literature.,” *Am J*
810 *Hematol*, vol. 72, no. 2, pp. 135–137, Feb. 2003.

811 [78] A. Barbouti, T. Ahlgren, B. Johansson, M. Hoeglund, C. Lassen, I. Turesson, F.
812 Mitelman, and T. Fioretos, “Clinical and genetic studies of ETV6/ABL1-positive chronic
813 myeloid leukaemia in blast crisis treated with imatinib mesylate.,” *Br J Haematol*, vol.
814 122, no. 1, pp. 85–93, Jul. 2003.

815 [79] Y. K. Keung, M. Beaty, W. Steward, B. Jackle, and M. Pettnati, “Chronic myelocytic
816 leukemia with eosinophilia, t(9;12)(q34;p13), and ETV6-ABL gene rearrangement:
817 case report and review of the literature.,” *Cancer Genet Cytogenet*, vol. 138, no. 2, pp.
818 139–142, 2002.

819 [80] R. L. Powles, G. R. Morgenstern, H. E. Kay, T. J. McElwain, H. M. Clink, P. J. Dady,
820 A. Barrett, B. Jameson, M. H. Depledge, J. G. Watson, J. Sloane, M. Leigh, H.
821 Lumley, D. Hedley, S. D. Lawler, J. Filshie, and B. Robinson, “Mismatched family
822 donors for bone-marrow transplantation as treatment for acute leukaemia.,” *Lancet*,
823 vol. 1, no. 8325, pp. 612–615, 1983.

824 [81] P. G. Beatty, R. A. Clift, E. M. Mickelson, B. B. Nisperos, N. Flournoy, P. J. Martin, J.
825 E. Sanders, P. Stewart, C. D. Buckner, and R. Storb, “Marrow transplantation from
826 related donors other than HLA-identical siblings.,” *N Engl J Med*, vol. 313, no. 13, pp.
827 765–771, Sep. 1985.

828 [82] F. Aversa, A. Terenzi, A. Tabilio, F. Falzetti, A. Carotti, S. Ballanti, R. Felicini, F.
829 Falcinelli, A. Velardi, L. Ruggeri, T. Aloisi, J. P. Saab, A. Santucci, K. Perruccio, M. P.
830 Martelli, C. Mecucci, Y. Reisner, and M. F. Martelli, “Full haplotype-mismatched
831 hematopoietic stem-cell transplantation: a phase II study in patients with acute
832 leukemia at high risk of relapse.,” *J Clin Oncol*, vol. 23, no. 15, pp. 3447–3454, 2005.

833 [83] K. K. Ballen and T. R. Spitzer, “The great debate: haploidentical or cord blood
834 transplant.,” *Bone Marrow Transpl.*, vol. 46, no. 3, pp. 323–329, 2011.

835 [84] X.-J. Huang, D.-H. Liu, K.-Y. Liu, L.-P. Xu, H. Chen, W. Han, Y.-H. Chen, X.-H. Zhang,
836 and D.-P. Lu, “Treatment of acute leukemia with unmanipulated HLA-
837 mismatched/haploidentical blood and bone marrow transplantation.,” *Biol Blood*
838 *Marrow Transpl.*, vol. 15, no. 2, pp. 257–265, Feb. 2009.

839 [85] E. Jabbour, J. Cortes, H. M. Kantarjian, S. Giralt, D. Jones, R. Jones, F. Giles, B. S.
840 Andersson, R. Champlin, and M. de Lima, “Allogeneic stem cell transplantation for
841 patients with chronic myeloid leukemia and acute lymphocytic leukemia after Bcr-Abl
842 kinase mutation-related imatinib failure.,” *Blood*, vol. 108, no. 4, pp. 1421–1423, Aug.
843 2006.

844 [86] M. Deininger, M. Schleuning, H. Greinix, H. G. Sayer, T. Fischer, J. Martinez, R.
845 Maziarz, E. Olavarria, L. Verdonck, K. Schaefer, C. Boqué, E. Faber, A. Nagler, E.
846 Pogliani, N. Russell, L. Volin, U. Schanz, G. Doelken, M. Kiehl, A. Fauser, B. Druker,
847 A. Sureda, S. Iacobelli, R. Brand, R. Krah, T. Lange, A. Hochhaus, A. Gratwohl, H.
848 Kolb, D. Niederwieser, , European Blood, and M. T. Group, “The effect of prior
849 exposure to imatinib on transplant-related mortality.,” *Haematologica*, vol. 91, no. 4,
850 pp. 452–459, Apr. 2006.

851 [87] V. G. Oehler, T. Gooley, D. S. Snyder, L. Johnston, A. Lin, C. C. Cummings, S. Chu,
852 R. Bhatia, S. J. Forman, R. S. Negrin, F. R. Appelbaum, and J. P. Radich, “The effects
853 of imatinib mesylate treatment before allogeneic transplantation for chronic myeloid
854 leukemia.,” *Blood*, vol. 109, no. 4, pp. 1782–1789, Feb. 2007.

855 [88] M. Weissner, M. Schleuning, C. Haferlach, R. Schwerdtfeger, and H. J. Kolb,
856 “Allogeneic stem-cell transplantation provides excellent results in advanced stage
857 chronic myeloid leukemia with major cytogenetic response to pre-transplant imatinib
858 therapy.,” *Leuk Lymphoma*, vol. 48, no. 2, pp. 295–301, Feb. 2007.

859 [89] J. M. Zaucha, W. Prejzner, S. Giebel, T. A. Gooley, D. Szatkowski, K. Ka?wak, J.

860 Wojnar, T. Kruzel, J. Balon, J. Ho?owiecki, and A. Hellmann, "Imatinib therapy prior to
861 myeloablative allogeneic stem cell transplantation.," *Bone Marrow Transpl.*, vol. 36,
862 no. 5, pp. 417–424, Sep. 2005.

863 [90] F. E. Nicolini, M. J. Mauro, G. Martinelli, D.-W. Kim, S. Soverini, M. C. Mueller, A.
864 Hochhaus, J. Cortes, C. Chuah, I. H. Dufva, J. F. Apperley, F. Yagasaki, J. D.
865 Pearson, S. Peter, C. Sanz Rodriguez, C. Preudhomme, F. Giles, J. M. Goldman, and
866 W. Zhou, "Epidemiologic study on survival of chronic myeloid leukemia and Ph(+)
867 acute lymphoblastic leukemia patients with BCR-ABL T315I mutation.," *Blood*, vol.
868 114, no. 26, pp. 5271–5278, 2009.

869 [91] O. Hantschel, "Allosteric BCR-ABL inhibitors in Philadelphia chromosome-positive
870 acute lymphoblastic leukemia: Novel opportunities for drug combinations to overcome
871 resistance," *Haematologica*, vol. 97, no. 2, pp. 157–159, 2012.

872 [92] O. G. Ottmann, B. Wassmann, H. Pfeifer, A. Giagounidis, M. Stelljes, U. Dührsen, M.
873 Schmalzing, L. Wunderle, A. Binckebanck, and D. Hoelzer, "Imatinib compared with
874 chemotherapy as front-line treatment of elderly patients with Philadelphia
875 chromosome-positive acute lymphoblastic leukemia (Ph+ALL)," *Cancer*, vol. 109, no.
876 10, pp. 2068–2076, 2007.

877 [93] O. G. Ottmann and H. Pfeifer, "First-line treatment of Philadelphia chromosome-
878 positive acute lymphoblastic leukaemia in adults.," *Curr Opin Oncol*, vol. 21 Suppl 1,
879 pp. S43--S46, Jun. 2009.

880 [94] C. Bellodi, M. R. Lidonnici, A. Hamilton, G. V. Helgason, A. R. Soliera, M. Ronchetti,
881 S. Galavotti, K. W. Young, T. Selmi, R. Yacobi, R. A. Van Etten, N. Donato, A. Hunter,
882 D. Dinsdale, E. Tirr??, P. Vigneri, P. Nicotera, M. J. Dyer, T. Holyoake, P. Salomoni,
883 and B. Calabretta, "Targeting autophagy potentiates tyrosine kinase inhibitor-induced
884 cell death in Philadelphia chromosome-positive cells, including primary CML stem
885 cells," *J. Clin. Invest.*, vol. 119, no. 5, pp. 1109–1123, 2009.

886 [95] G. V. Helgason, M. Karvela, and T. L. Holyoake, "Kill one bird with two stones:
887 potential efficacy of BCR-ABL and autophagy inhibition in CML.," *Blood*, vol. 118, no.
888 8, pp. 2035–2043, Aug. 2011.

889 [96] D. J. Goussetis, E. Gounaris, E. J. Wu, E. Vakana, B. Sharma, M. Bogoyo, J. K.
890 Altman, and L. C. Plataniias, "Autophagic degradation of the BCR-ABL oncoprotein
891 and generation of antileukemic responses by arsenic trioxide.," *Blood*, vol. 120, no. 17,
892 pp. 3555–3562, 2012.

893 [97] G. L. Plosker and D. M. Robinson, "Nilotinib," *Drugs*, vol. 68, no. 4. pp. 449–459,
894 2008.

895 [98] G. J. Freeman, A. J. Long, Y. Iwai, K. Bourque, T. Chernova, H. Nishimura, L. J. Fitz,
896 N. Malenkovich, T. Okazaki, M. C. Byrne, H. F. Horton, L. Fouser, L. Carter, V. Ling,
897 M. R. Bowman, B. M. Carreno, M. Collins, C. R. Wood, and T. Honjo, "Engagement of
898 the PD-1 immunoinhibitory receptor by a novel B7 family member leads to negative
899 regulation of lymphocyte activation.," *J. Exp. Med.*, vol. 192, no. 7, pp. 1027–1034,
900 2000.

901 [99] Y. Latchman, C. R. Wood, T. Chernova, D. Chaudhary, M. Borde, I. Chernova, Y.
902 Iwai, A. J. Long, J. A. Brown, R. Nunes, E. A. Greenfield, K. Bourque, V. A. Boussiotis,
903 L. L. Carter, B. M. Carreno, N. Malenkovich, H. Nishimura, T. Okazaki, T. Honjo, A. H.
904 Sharpe, and G. J. Freeman, "PD-L2 is a second ligand for PD-1 and inhibits T cell
905 activation.," *Nat. Immunol.*, vol. 2, no. 3, pp. 261–268, 2001.

906 [100] L. L. Carter, L. A. Fouser, J. Jussif, L. Fitz, B. Deng, C. R. Wood, M. Collins, T. Honjo,
907 G. J. Freeman, and B. M. Carreno, "PD-1:PD-L inhibitory pathway affects both CD4+
908 and CD8+ T cells and is overcome by IL-2," *Eur. J. Immunol.*, vol. 32, no. 3, pp. 634–
909 643, 2002.

910 [101] S. L. Topalian, F. S. Hodi, J. R. Brahmer, S. N. Gettinger, D. C. Smith, D. F.

911 McDermott, J. D. Powderly, R. D. Carvajal, J. A. Sosman, M. B. Atkins, P. D. Leming,
912 D. R. Spigel, S. J. Antonia, L. Horn, C. G. Drake, D. M. Pardoll, L. Chen, W. H.
913 Sharfman, R. A. Anders, J. M. Taube, T. L. McMiller, H. Xu, A. J. Korman, M. Jure-
914 Kunkel, S. Agrawal, D. McDonald, G. D. Kollia, A. Gupta, J. M. Wigginton, and M.
915 Sznol, "Safety, Activity, and Immune Correlates of Anti-PD-1 Antibody in Cancer,"
916 *New England Journal of Medicine*, vol. 366, no. 26. pp. 2443–2454, 2012.

917 [102] S. Mumprecht, C. Schürch, J. Schwaller, M. Solenthaler, and A. F. Ochsenbein,
918 "Programmed death 1 signaling on chronic myeloid leukemia-specific T cells results in
919 T-cell exhaustion and disease progression," *Blood*, vol. 114, no. 8, pp. 1528–1536,
920 2009.

921 [103] W. J. Norde, F. Maas, W. Hobo, A. Korman, M. Quigley, M. G. D. Kester, K. Hebeda,
922 J. H. F. Falkenburg, N. Schaap, T. M. De Witte, R. Van Der Voort, and H. Dolstra,
923 "PD-1/PD-L1 interactions contribute to functional T-cell impairment in patients who
924 relapse with cancer after allogeneic stem cell transplantation," *Cancer Res.*, vol. 71,
925 no. 15, pp. 5111–5122, 2011.

926 [104] S. Saussele and R. T. Silver, "Management of chronic myeloid leukemia in blast
927 crisis.," *Ann. Hematol.*, vol. 94 Suppl 2, pp. S159-65, 2015.

928 [105] H. Pfeifer, B. Wassmann, W. Bethge, J. Dengler, M. Bornhäuser, M. Stadler, D.
929 Beelen, V. Vucinic, T. Burmeister, M. Stelljes, C. Faul, P. Dreger, a Kiani, K. Schäfer-
930 Eckart, R. Schwerdtfeger, E. Lange, B. Kubuschok, H. a Horst, M. Gramatzki, P.
931 Brück, H. Serve, D. Hoelzer, N. Gökbüget, and O. G. Ottmann, "Randomized
932 comparison of prophylactic and minimal residual disease-triggered imatinib after
933 allogeneic stem cell transplantation for BCR-ABL1-positive acute lymphoblastic
934 leukemia.," *Leukemia*, vol. 27, no. 6, pp. 1254–62, 2013.

935 [106] P. A. Carpenter, D. S. Snyder, M. E. D. Flowers, J. E. Sanders, T. A. Gooley, P. J.
936 Martin, F. R. Appelbaum, and J. P. Radich, "Prophylactic administration of imatinib
937 after hematopoietic cell transplantation for high-risk Philadelphia chromosome-positive
938 leukemia," *Blood*, vol. 109, no. 7, pp. 2791–2793, 2007.

939 [107] E. Klyuchnikov, N. Kröger, T. H. Brummendorf, B. Wiedemann, A. R. Zander, and U.
940 Bacher, "Current status and perspectives of tyrosine kinase inhibitor treatment in the
941 posttransplant period in patients with chronic myelogenous leukemia (CML).," *Biol.*
942 *Blood Marrow Transplant.*, vol. 16, no. 3, pp. 301–10, 2010.

943 [108] B. N. Savani, A. Montero, R. Kurlander, R. Childs, N. Hensel, and A. J. Barrett,
944 "Imatinib synergizes with donor lymphocyte infusions to achieve rapid molecular
945 remission of CML relapsing after allogeneic stem cell transplantation," *Bone Marrow*
946 *Transplant.*, vol. 36, no. 11, pp. 1009–1015, 2005.

947 [109] H. M. Kantarjian, S. M. O'Brien, M. Keating, M. Beran, E. Estey, S. Giralt, S. Kornblau,
948 M. B. Rios, D. de Vos, and M. Talpaz, "Results of decitabine therapy in the
949 accelerated and blastic phases of chronic myelogenous leukemia.," *Leukemia*, vol. 11,
950 no. 10, pp. 1617–1620, 1997.

951 [110] J.-P. J. Issa, G. Garcia-Manero, F. J. Giles, R. Mannari, D. Thomas, S. Faderl, E.
952 Bayar, J. Lyons, C. S. Rosenfeld, J. Cortes, and H. M. Kantarjian, "Phase 1 study of
953 low-dose prolonged exposure schedules of the hypomethylating agent 5-aza-2'-
954 deoxycytidine (decitabine) in hematopoietic malignancies.," *Blood*, vol. 103, no. 5, pp.
955 1635–1640, 2004.

956 [111] J.-P. J. Issa, V. Gharibyan, J. Cortes, J. Jelinek, G. Morris, S. Verstovsek, M. Talpaz,
957 G. Garcia-Manero, and H. M. Kantarjian, "Phase II study of low-dose decitabine in
958 patients with chronic myelogenous leukemia resistant to imatinib mesylate.," *J Clin*
959 *Oncol*, vol. 23, no. 17, pp. 3948–3956, Jun. 2005.

960 [112] M. Endo, A. Sekikawa, T. Tsumura, T. Maruo, and Y. Osaki, "A case of
961 myelodysplastic syndrome with intestinal behçet's disease-like symptoms treated by
962 prednisolone and azacitidine," *Am. J. Case Rep.*, vol. 16, pp. 827–831, 2015.

- [113] Y. Oki, H. M. Kantarjian, V. Gharibyan, D. Jones, S. O'Brien, S. Verstovsek, J. Cortes, G. M. Morris, G. Garcia-Manero, and J.-P. J. Issa, "Phase II study of low-dose decitabine in combination with imatinib mesylate in patients with accelerated or myeloid blastic phase of chronic myelogenous leukemia.," *Cancer*, vol. 109, no. 5, pp. 899–906, 2007.
- [114] D. Ghez, J.-B. Micol, F. Pasquier, N. Auger, V. Saada, M. Spentchian, J.-C. Ianotto, J.-H. Bourhis, A. Bennaceur-Griscelli, C. Terré, S. Castaigne, S. Rigauudeau, P. Rousselot, and S. de Botton, "Clinical efficacy of second generation tyrosine kinase inhibitor and 5-azacytidine combination in chronic myelogenous leukaemia in myeloid blast crisis.," *Eur J Cancer*, vol. 49, no. 17, pp. 3666–3670, Nov. 2013.
- [115] J. Stephenson, H. Lizhen, and G. J. Mufti, "Possible co-existence of RAS activation and monosomy 7 in the leukaemic transformation of myelodysplastic syndromes.," *Leuk Res*, vol. 19, no. 10, pp. 741–748, 1995.
- [116] L.-Y. Shih, C.-F. Huang, P.-N. Wang, J.-H. Wu, T.-L. Lin, P. Dunn, and M.-C. Kuo, "Acquisition of FLT3 or N-ras mutations is frequently associated with progression of myelodysplastic syndrome to acute myeloid leukemia.," *Leukemia*, vol. 18, no. 3, pp. 466–475, 2004.
- [117] P. A. Jones and P. W. Laird, "Cancer epigenetics comes of age.," *Nat Genet*, vol. 21, no. 2, pp. 163–167, Feb. 1999.
- [118] T. T. Nguyen, A. F. Mohrbacher, Y. C. Tsai, J. Groffen, N. Heisterkamp, P. W. Nichols, M. C. Yu, M. Luebbert, and P. A. Jones, "Quantitative measure of c-abl and p15 methylation in chronic myelogenous leukemia: biological implications.," *Blood*, vol. 95, no. 9, pp. 2990–2992, 2000.
- [119] H. M. Kantarjian, S. O'Brien, J. Cortes, F. J. Giles, S. Faderl, J.-P. Issa, G. Garcia-Manero, M. B. Rios, J. Shan, M. Andreeff, M. Keating, and M. Talpaz, "Results of decitabine (5-aza-2'deoxyctidine) therapy in 130 patients with chronic myelogenous leukemia.," *Cancer*, vol. 98, no. 3, pp. 522–528, Aug. 2003.
- [120] P. La Rosée, K. Johnson, A. S. Corbin, E. P. Stoffregen, E. M. Moseson, S. Willis, M. M. Mauro, J. V Melo, M. W. Deininger, and B. J. Druker, "In vitro efficacy of combined treatment depends on the underlying mechanism of resistance in imatinib-resistant Bcr-Abl-positive cell lines.," *Blood*, vol. 103, no. 1, pp. 208–215, Jan. 2004.

Table 1: uncommon prognostic aspects of CML in this case

Feature	Frequency	Prognostic role in CML	Caveats	References
<i>Variant BCR-ABL translocations</i>	6%	Inferior in pre TKI era / unclear in TKI era	Speculated to be a marker of genomic instability	[2], [17]–[21]
<i>Atypical BCR-ABL transcripts</i>	sporadically	Uncertain	BCR/ABL monitoring difficult	[24]–[31]
<i>Additional chromosomal aberrations (ACAs) In Ph positive clones</i>	5% (more common in AP and blast phase: 30-80%)	Negative predictor if present at initial diagnosis	Prognostic role unclear if developed under TKI, but considered as warning sing	[11], [37]–[42]
<i>ACA in independent Ph negative clones</i>	rare	uncertain	Possibly TKI therapy induced	[43], [48], [49], [52]
<i>Myelodysplasia in CML</i>	rare	If associated with monosomy 7 poor	TKI side effects or MDS/MPN overlap syndrome	[15], [53]–[56]
<i>KRAS mutation</i>	very rare	Controversial prognostic role	association with Imatinib resistance reported	[64]–[69]
<i>ASXL1 mutation</i>	frequent	May contribute to disease progression	Poor prognosis in MDS and MPNs	[70]–[73]
<i>ETV6 mutation</i>	occasional	no data	occurs in high risk MDS	[75]–[78]

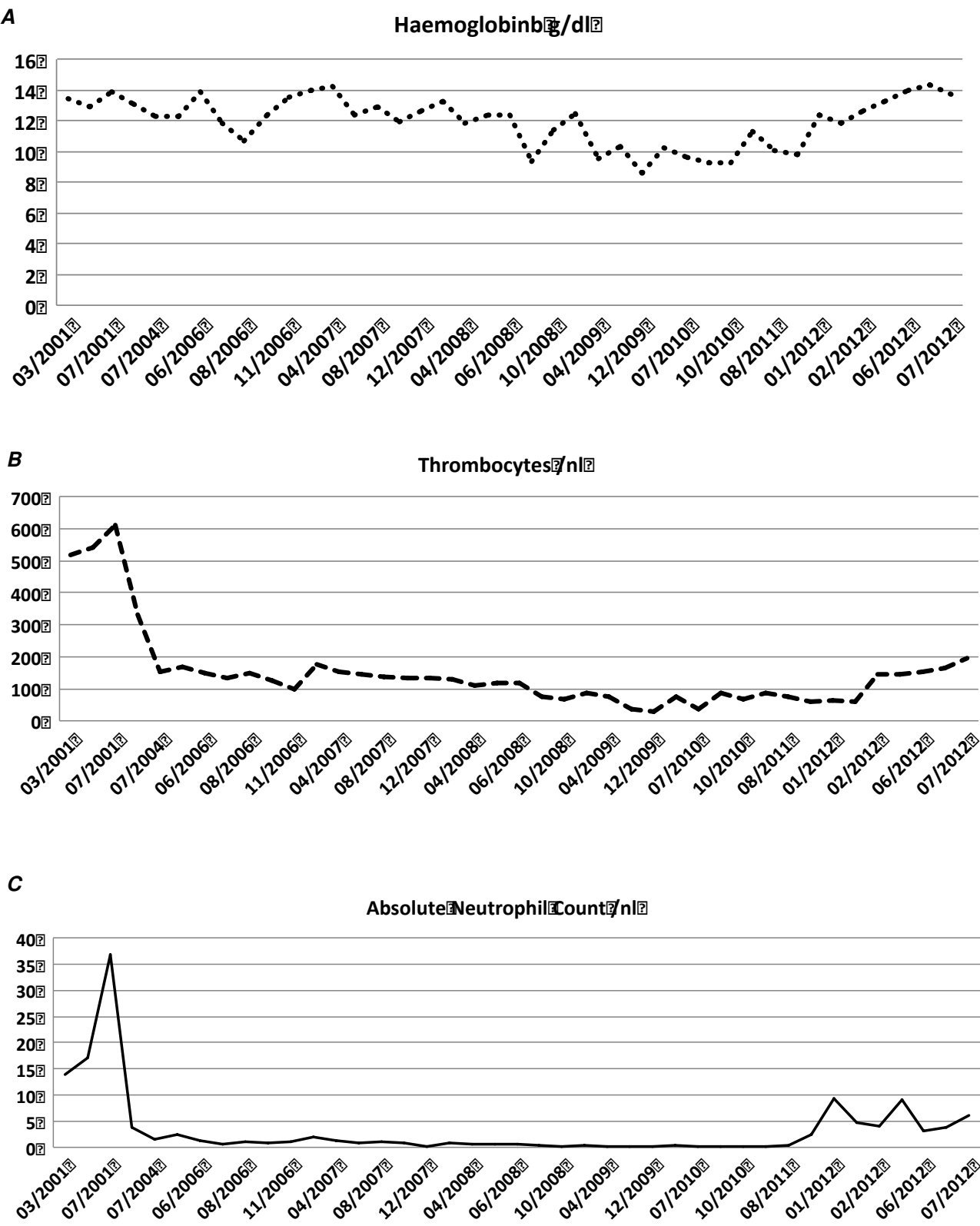
1004
1005
1006
1007

Table 2: Results of cytogenetic analysis

(Note: in all cases a female karyotype 45 XX was detected additionally)

Date	Results	Therapy	Response
17.02.2001	t(9:22:17) [9/9]	Litalir	Primary diagnosis
09.09.2004	No viable cells	Imatinib	n.a.
16.03.2006	t(9:22) [19/25]	Nilotinib	Partial cytogenetic remission (pCyR)
09.08.2006	t(9:22:17) [4/20], -7 [16/20]	Nilotinib	pCyR
24.11.2006	t(9:22:17) [6/20], -7 [14/20]	Nilotinib	pCyR
06.02.2007	t(9:22:17) [2/21], -7 [19/21]	Nilotinib	pCyR
22.05.2007	-7 [19/19]	Nilotinib	First complete cytogenetic remission (cCyR)
04.09.2007	-7 [20/20]	Nilotinib	cCyR
08.01.2008	-7 [21/21]	Nilotinib	cCyR
30.04.2008	t(9:22:17) [3/21], -7 [18/21]	Dasatinib	First cytogenetic relapse
20.08.2008	-7 [20/20]	Dasatinib	Second complete cytogenetic remission (cCyR)
08.12.2008	-7 [14/16]	Dasatinib	cCyR
15.12.2009	-7 [2/2]	Dasatinib + Azacitidine	cCyR
15.10.2010	-7 [20/20]	Dasatinib + Azacitidine	cCyR
03.05.2011	-7 [13/21], -7 der(22)t(2;22) [6/21], t(9;22;17) [2/21]	Dasatinib + Azacitidine	Second cytogenetic relapse

Figure 1: Blood count



CML cytogenetic response:

